



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/823,181	03/30/2001	Jingyue Ju	0575/62948/JPW/ADM/BJA	9161

7590 11/23/2004
John P. White, Esq.
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, NY 10036

EXAMINER
SISSON, BRADLEY L

ART UNIT	PAPER NUMBER
1634	

DATE MAILED: 11/23/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/823,181

Applicant(s)

JU ET AL.

Examiner

Bradley L. Sisson

Art Unit

1634

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 16 September 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 74-92 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 74-92 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Art Unit: 1634

DETAILED ACTION

Specification

1. The specification is objected to as documents have been improperly incorporated by reference. In particular, the specification states:

Throughout this application, various publications are referenced in parentheses by author and year. Full citations for these references may be found at the end of the specification immediately preceding the claims. The disclosures of these publications in their entireties are hereby incorporated by reference into this application to more fully describe the state of the art to which this invention pertains.

Such omnibus language fails to specify what specific information applicant seeks to incorporate by reference and similarly fails to teach with detailed particularity just where that specific information is to be found in each of the cited documents. As set forth in *Advanced Display Systems Inc. v. Kent State University* (Fed. Cir. 2000) 54 USPQ2d at 1679:

Incorporation by reference provides a method for integrating material from various documents into a host document--a patent or printed publication in an anticipation determination--by citing such material in a manner that makes it clear that the material is effectively part of the host document as if it were explicitly contained therein. *See General Elec. Co. v. Brenner*, 407 F.2d 1258, 1261-62, 159 USPQ 335, 337 (D.C. Cir. 1968); *In re Lund*, 376 F.2d 982, 989, 153 USPQ 625, 631 (CCPA 1967). **To incorporate material by reference, the host document must identify with detailed particularity what specific material it incorporates and clearly indicate where that material is found in the various documents.** *See In re Seversky*, 474 F.2d 671, 674, 177 USPQ 144, 146 (CCPA 1973) (providing that incorporation by reference requires a statement "clearly identifying the subject matter which is incorporated and where it is to be found"); *In re Saunders*, 444 F.2d 599, 602-02, 170 USPQ 213, 216-17 (CPA 1971) (reasoning that a rejection or anticipation is appropriate only if one reference "expressly incorporates a particular part" of another reference); *National Latex Prods. Co. v. Sun Rubber Co.*, 274 F.2d 224, 230, 123 USPQ 279, 283 (6th Cir. 1959) (requiring a specific reference to material in an earlier application in order to have that material considered a part of a later application); *cf. Lund*, 376 F.2d at 989, 13 USPQ at 631 (holding that **a one sentence reference to an abandoned application is not sufficient to incorporate from the abandoned application into a new application**). (Emphasis added.)

Art Unit: 1634

Attention is also directed to MPEP 608.01(p)I, which, in pertinent part, is reproduced below:

Mere reference to another application, patent, or publication is not an incorporation of anything therein into the application containing such reference for the purpose of the disclosure required by 35 U.S.C. 112, first paragraph. *In re de Seversky*, 474 F.2d 671, 177 USPQ 144 (CCPA 1973). In addition to other requirements for an application, the referencing application should include an identification of the referenced patent, application, or publication. Particular attention should be directed to specific portions of the referenced document where the subject matter being incorporated may be found. (Emphasis added)

Accordingly, the cited documents are not considered to have been properly incorporated by reference and as such, have not been considered with any effect towards their fulfilling, either in part or in whole, the enablement, written description, or best mode requirements of 35 USC 112, first paragraph.

Response to argument

2. At page 15, bridging to page 16 of the response received 16 September 2004, hereinafter the response, applicant stresses that the cited documents have “been incorporated in order to explain the state of the art, the entire disclosure of each reference is the pertinent and appropriate incorporated portion” (emphasis in the original).

3. It is noted that applicant has argued that the cited documents have been cited not for satisfaction of the enablement, written description, or best mode requirements, but rather, so to demonstrate the “state of the art,” which has been construed as being the background of art to which the invention belongs. Such prior state of the art, or background, is not considered to be one and the same as satisfying the written description, enablement, and best mode requirements of 35 USC 112, first paragraph. When the cited documents contain statements as to work not presented, thanks to contributors, bibliographic listings, and forward looking statements, just to

Art Unit: 1634

name a few, it is unclear how all portions of the document relate to the claimed invention, be it state of the art or otherwise. The specification also does not provide an adequate description as to how the various statements are to be adapted so that the skilled artisan would recognize which part, or parts, of one article are to be adapted with that of any number of other parts from any number of other articles so to arrive at the allegedly novel and non-obvious invention.

Accordingly, and in the absence of convincing evidence to the contrary, the documents are not considered to be properly incorporated by reference and as such cannot be relied upon for satisfaction of the written description, enablement, and/or best mode requirements of 35 USC 112, first paragraph.

Claim Rejections - 35 USC § 112

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. Claims 74-92 remain rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter, which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Attention is directed to the decision in *University of Rochester v. G.D. Searle & Co.*

68 USPQ2D 1424 (Fed. Cir. 2004) at 1428:

To satisfy the written-description requirement, the specification must describe every element of the claimed invention in sufficient detail so that one of ordinary skill in the art would recognize that the inventor possessed the claimed invention at the time of filing. *Vas-Cath*, 935 F.3d at 1563; see also *Lockwood v. American Airlines, Inc.*, 107

Art Unit: 1634

F.3d 1565, 1572 [41 USPQ2d 1961] (Fed. Cir. 1997) (patent specification must describe an invention and do so in sufficient detail that one skilled in the art can clearly conclude that “the inventor invented the claimed invention”); *In re Gosteli*, 872 F.2d 1008, 1012 [10 USPQ2d 1614] (Fed. Cir. 1989) (“the description must clearly allow persons of ordinary skill in the art to recognize that [the inventor] invented what is claimed”). Thus, an applicant complies with the written-description requirement “by describing the invention, with all its claimed limitations, not that which makes it obvious,” and by using “such descriptive means as words, structures, figures, diagrams, formulas, etc., that set forth the claimed invention.” *Lockwood*, 107 F.3d at 1572.

6. It is noted that while claims 74-92 are pending, there is but one independent claim, *id est*, claim 74. For purposes of examination, claim 74 has been interpreted as encompassing a method whereby the nucleotide sequence of virtually any DNA can be determined, including intact chromosomes, as well as any number of fragments of any length and of any degree of similarity to that of the DNA that the artisan truly wishes to sequence. The claimed method has also been construed as encompassing the performance of the claimed method under virtually any condition that would result in any primer extension product, including primer extension products derived from non-target DNA molecules. And the claimed method has been interpreted as encompassing the accurate, reproducible sequencing of any number of DNA sequences in a simultaneous format where the same labels are used for all primer extension products derived from all DNA templates.

7. The claimed method has also been construed as encompassing the use of a system whose surface has been “coated with a compound” where the coating is by covalent or non-covalent binding means.

8. The claimed method has also been construed as encompassing the simultaneous determination of the masses of an infinite number of DNA fragments, irrespective of the template(s) they were derived from, including a heterogeneous mixture comprising premature

Art Unit: 1634

termination products, full length products of short templates, erroneous incorporation of nucleotides in full length sequences, etc.

9. Said claim has also been interpreted as encompassing the use of a system where a plurality of wells are in series for each channel, and that the sample is immobilized to the coated surface of the channels by a single passage of the sample through said channels and wells.

10. The claimed method has also been interpreted as encompassing the use of coated channels that are open/exposed on one side as well as channels that are entirely closed except for the ends.

11. The claimed method has been interpreted as encompassing the release of the immobilized DNA sequencing fragments by virtually any means, which include but are not limited to, heating, application of light, application of one or any combination of chemicals, including but not limited to alkaline degradation.

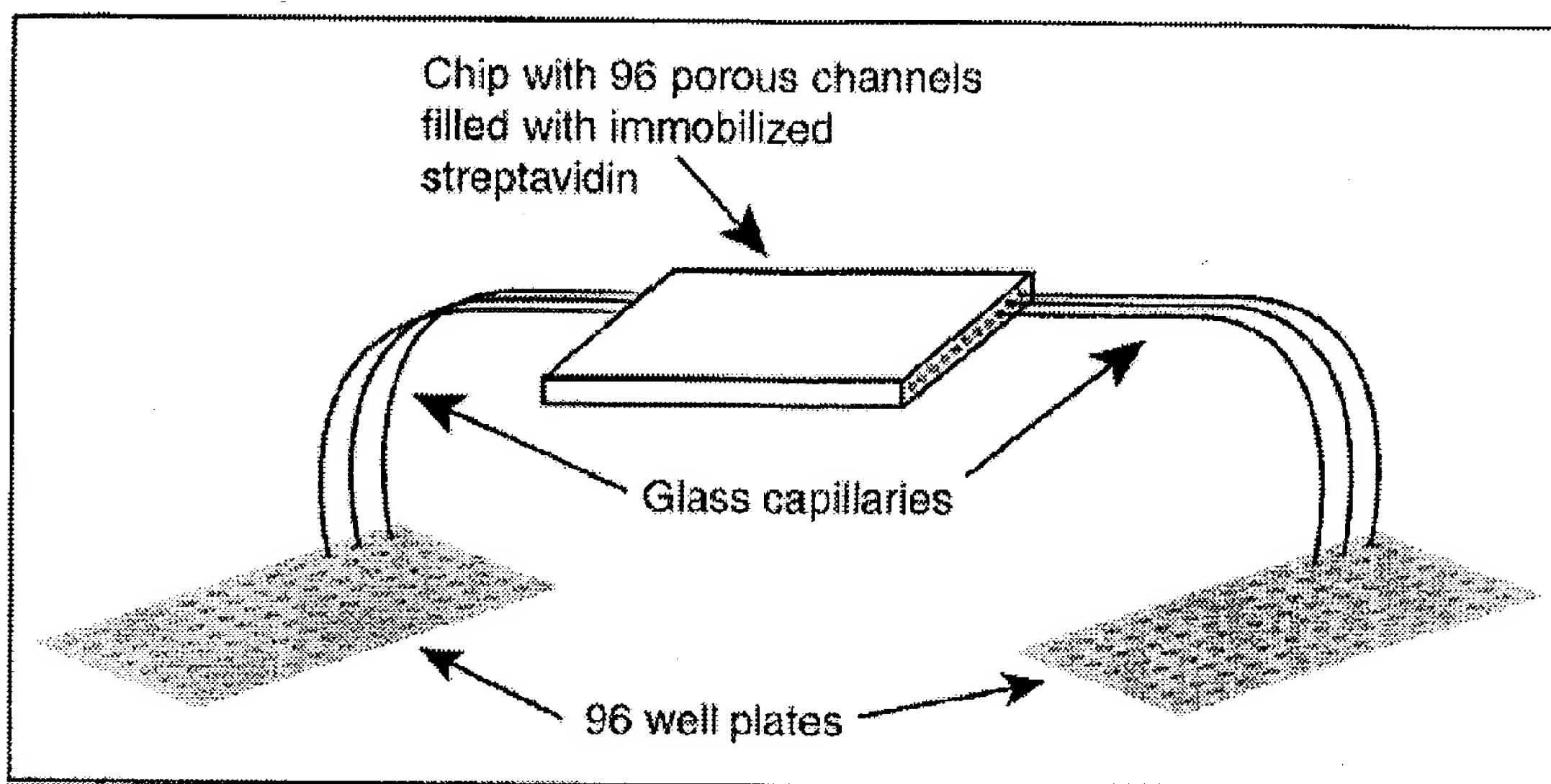
12. Said claim has been interpreted as encompassing determining the difference in molecular weight between different labeled DNA sequencing fragments via mass spectrometry, where the DNA sequencing fragments have not been further manipulated or modified since passage through the channel(s) and well(s). To that end, the claimed method fairly encompasses practicing a method where the samples are not free from alkaline or alkaline-earth salts, or any other contaminant. The instant specification, however, cautions artisans thusly:

However, in order to obtain accurate measure of the mass of the sequencing DNA fragments, the samples must be free from alkaline and alkaline-earth salts. Samples must be desalted and free from contaminants before the MS analysis.

Art Unit: 1634

A review of the specification, including the passages cited by applicant, fails to find an adequate written description of where mass spectrometry is performed on the DNA sequencing fragments where the sample contains any of the above-noted contaminants.

13. As noted above, the claimed method has been interpreted as comprising a plurality of wells connected via a channel, where the channel and wells are within a chip. A review of the disclosure, however, fails to find an adequate written description of such a device. Rather, the specification has been found to provide a description via Fig. 12, of two 96-well plates that are connected via glass capillary tubes to corresponding single channels in a chip.



It is noted with particularity that the device described lacks any means for applying pressure such that any one, much less 96 different samples could be passed through the coated channels in one direction, much less back-and-forth, thereby permitting/enabling the binding of the DNA sequencing fragments.

Art Unit: 1634

14. As noted above, the claimed method has been interpreted as requiring but a single pass of the sample through the channels, however, page 48, lines 26-29, describes a method requiring pressure to be applied in reverse in order to drive "the sample through the channel multiple times," thereby ensuring a high efficiency solid phase capture. Assuming *arguendo*, that the specification does suggest performing but a single pass of the sample through the channel, the specification has not been found to provide an adequate written description of how adequate quantities of DNA sequencing fragments are to be bound, and subsequently released and then analyzed such that the nucleotide sequence could be accurately and reproducibly determined, especially when the quantity of starting material is severely limited.

15. The claimed method has also been interpreted as encompassing the simultaneous sequencing of multiple DNA sequencing fragments in a common channel. To perform such a maneuver would present situations where multiple signals would be generated at the same time, yet would correspond to the different templates. The use of knowingly different DNA fragments will cause situations where the nucleotide sequence is anything but clearly resolvable. The specification has not been found to provide an adequate written description of how this issue is to be overcome.

16. The claimed method fairly encompasses the use of mass spectrometry in the analysis of the DNA fragments. The use of lasers in performing mass spectrometry is recognized in the art as causing significant problems in sequencing. In support of this position attention is directed to US Patent Application Publication 2002016842A1 teaches at paragraph 13:

A problem encountered with MALDI of simplex DNA is breakage. Initial trials with short homogenous simplexes revealed severe fragmentation problems ("Matrix-assisted

Art Unit: 1634

laser-desorption mass spectrometry of DNA using an infrared free-electron laser," Haugland, R. F. et al.; Proc. SPIE-Int. Soc. Opt. Eng., 1854 (FEL), 1993). Two distinct molecules of lower mass are split off by a break in the deoxyribose-phosphodiester backbone of single stranded DNA. Even for a homogenous population of single stranded DNAs, the resultant fragments have a broad range of lower masses. For projected heterogeneous single stranded Pop as inputs for sequencing, lower mass members will be within the fragmentation background and thus harder to recognize.

The specification of the subject application has not been found to provide an adequate written description as to how art-recognized issues are to be overcome.

17. In view of the breadth of the claims, the limited written description provided, the specification has not been found to provide an adequate written description of the invention. Similarly, the specification has not been found to reasonably suggest that applicant was in possession of the invention at the time of filing. Therefore, and in the absence of convincing evidence to the contrary, claims 74-92 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement.

Response to argument

At page 16 of the response applicant directs attention to page 37, lines 15-19, as to how the claimed invention can be practiced and avoid problems associated with salt concentrations.

18. The above argument has been fully considered and has not been found persuasive as applicant is arguing limitations from the specification, which are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

19. At page 17 of the response applicant traverses the manner in which the claimed invention has been construed, noting with particularity the interpretation that the channel and wells can be within the chip, when the claims do not positively recite such a limitation. Applicant also states that the claims have been amended so to avoid this interpretation.

Art Unit: 1634

20. The above argument has been fully considered and has not been found persuasive towards the withdrawal of the rejection for while limitations have been added to claim 74, aid limitations do not overcome this interpretation as channels, wells, and connections between same could all be within a single chip, which are not adequately described in the specification as filed.

21. At page 18, second full paragraph, applicant's representative asserts as to what is "notoriously well known in the art."

22. This argument has been fully considered and has not been found persuasive. Attention is directed to MPEP 2145.

Attorney argument is not evidence unless it is an admission, in which case, an examiner may use the admission in making a rejection. See MPEP § 2129 and § 2144.03 for a discussion of admissions as prior art.

The arguments of counsel cannot take the place of evidence in the record. In re Schulze, 346 F.2d 600, 602, 145 USPQ 716, 718 (CCPA 1965); In re Geisler, 116 F.3d 1465, 43 USPQ2d 1362 (Fed. Cir. 1997) ("An assertion of what seems to follow from common experience is just attorney argument and not the kind of factual evidence that is required to rebut a prima facie case of obviousness."). See MPEP § 716.01(c) for examples of attorney statements which are not evidence and which must be supported by an appropriate affidavit or declaration.

23. Page 19, last paragraph, of the response applicant's representative asserts "mass resolution of the claimed method is sufficient to distinguish the different fragments by mass spectrometry."

24. This argument has been fully considered and has not been found persuasive. Attention is directed to MPEP 2145.

Attorney argument is not evidence unless it is an admission, in which case, an examiner may use the admission in making a rejection. See MPEP § 2129 and § 2144.03 for a discussion of admissions as prior art.

The arguments of counsel cannot take the place of evidence in the record. In re Schulze, 346 F.2d 600, 602, 145 USPQ 716, 718 (CCPA 1965); In re Geisler, 116 F.3d 1465,

Art Unit: 1634

43 USPQ2d 1362 (Fed. Cir. 1997) ("An assertion of what seems to follow from common experience is just attorney argument and not the kind of factual evidence that is required to rebut a prima facie case of obviousness."). See MPEP § 716.01(c) for examples of attorney statements which are not evidence and which must be supported by an appropriate affidavit or declaration.

25. Page 20, last paragraph, of the response applicant's representative asserts, "the specification clearly demonstrates with working examples the effective use of MALDI-TOF mass spectrometry to sequence nucleic acids."

26. The above argument has been fully considered and has not been found persuasive as the claimed method is not limited to the performance of MALDI-TOF, but rather, fairly encompasses the practice of virtually any detection means. Further, the specification fails to provide an adequate written description of how one is to conduct the simultaneous sequencing of an infinite number of DNA molecules, of different nucleotide composition, and of any length, including but not limited to intact chromosomes, in accordance with the claimed method.

27. For the above reasons, and in the absence of convincing evidence to the contrary, the rejection is maintained.

28. Claims 74-92 remain rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter, which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. As set forth in *Enzo*

Biochem Inc., v. Calgene, Inc. (CAFC, 1999) 52 USPQ2d at 1135, bridging to 1136:

To be enabling, the specification of a patent must teach those skilled in the art how to make and use the full scope of the claimed invention without 'undue experimentation.' "*Genentech, Inc. v. Novo Nordisk, A/S*, 108 F.3d 1361, 1365, 42 USPQ2d 1001, 1004

Art Unit: 1634

(Fed. Cir. 1997) (quoting *In re Wright*, 999 F.2d 1557, 1561, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993)). Whether claims are sufficiently enabled by a disclosure in a specification is determined as of the date that the patent application was first filed, see *Hybritech, Inc. v. Monoclonal Antibodies, Inc.*, 802 F.2d 1367, 1384, 231 USPQ 81, 94 (Fed. Cir. 1986).... We have held that a patent specification complies with the statute even if a "reasonable" amount of routine experimentation is required in order to practice a claimed invention, but that such experimentation must not be "undue." See, e.g., *Wands*, 858 F.2d at 736-37, 8 USPQ2d at 1404 ("Enablement is not precluded by the necessity for some experimentation However, experimentation needed to practice the invention must not be undue experimentation. The key word is 'undue,' not 'experimentation.'") (footnotes, citations, and internal quotation marks omitted). In *In re Wands*, we set forth a number of factors which a court may consider in determining whether a disclosure would require undue experimentation. These factors were set forth as follows: (1) the quantity of experimentation necessary, (2) the amount of direction or guidance presented, (3) the presence or absence of working examples, (4) the nature of the invention, (5) the state of the prior art, (6) the relative skill of those in the art, (7) the predictability or unpredictability of the art, and (8) the breadth of the claims. *Id.* at 737, 8 USPQ2d at 1404. We have also noted that all of the factors need not be reviewed when determining whether a disclosure is enabling. See *Amgen, Inc. v. Chugai Pharm. Co., Ltd.*, 927 F.2d 1200, 1213, 18 USPQ2d 1016, 1027 (Fed. Cir. 1991) (noting that the *Wands* factors "are illustrative, not mandatory. What is relevant depends on the facts.").

29. As presented above, the specification has not been found to provide an adequate written description of the invention to where the specification does not reasonably suggest that applicant did not possess the entire invention at the time of filing. It is well settled that one cannot enable that which they do not yet possess.

30. Further, the records clearly shows that the claimed method fairly encompasses embodiments where art-recognized issues of enablement would be encountered, yet the specification is effectively silent as to how they are to be overcome sans the skilled artisan resort to undue experimentation.

31. Therefore, and in the absence of convincing evidence to the contrary, claims 74-92 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement.

Art Unit: 1634

Response to arguments

32. At page 21, bridging to page 22 of the response, applicant asserts that the claimed invention is both adequately described and enabled by the disclosure. In support of their position, applicant's representative asserts that such support can be found in the examples provided in the disclosure.

33. The above argument has been fully considered and has not been found persuasive. As an initial matter, the rejection of claims under 35 USC 112, first paragraph, has been maintained as neither review of the disclosure nor applicant's arguments have presented convincing evidence that applicant had possession of the full scope of the claimed invention. Given that one cannot enable that which they do not yet possess, the arguments of applicant's representative as to the disclosure being fully enabling are not found persuasive.

In so far as the specification is fully enabling, with attention being directed to the examples provided, it is noted that the specification does provide the following:

I. DNA Sequencing with Biotinylated
Dideoxynucleotides on a Mass Spectrometer

II. Design and Synthesis of Biotinylated
dideoxynucleotides with Mass Tags

III. Design and Synthesis of Mass Tagged ddNTPs
Containing Photocleavable Biotin for a High Fidelity
and High Throughput DNA Sequencing System using Mass
Spectrometry

IV. Overview of capturing a DNA fragment terminated
with a ddNTP on a surface and freeing the ddNTP and
DNA fragment

Art Unit: 1634

V. High density streptavidin-coated, porous silica channel system.

VI. Validation of the Mass Spectrometry DNA Sequencing System Using Synthetic DNA Templates and PCR Templates Generated from Genomic DNA.

None of the examples, however, teach sequencing any length and number of DNA figments in a simultaneous manner. Furthermore, none of these examples teach how the assay is to be conducted in a chip. And the specification is essentially silent as to how one is to resolve any conflict that results when the signals are derived from a plurality of fragments when the fragments are of equal length and yet are from different templates, including, but not limited to accurate detection of point mutations, inversions, as well as from highly divergent sequences that yet contain the same number of A, C, T, and G residues. At best, the specification provides motivation for others to more fully develop an interesting area of art. However, providing motivation for others to complete the work suggested in the instant disclosure does not constitute an full, clear, and concise description of the invention that in turn satisfies the enablement and best mode requirements of 35 USC 112, first paragraph. Therefore, and in the absence of convincing evidence to the contrary, claims 74-92 remain rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement.

Conclusion

34. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Art Unit: 1634

35. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

36. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bradley L. Sisson whose telephone number is (571) 272-0751. The examiner can normally be reached on 6:30 a.m. to 5 p.m., Monday through Thursday.

37. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, W. Gary Jones can be reached on (571) 272-0745. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

38. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR

Art Unit: 1634

system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Bradley L. Sisson
Primary Examiner
Art Unit 1634

BLS

20 November 2004